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PATENTS
112056-0120
P01-1570

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:
Eric Hamilton et al.

Serial No.: 10/705,493

Filed: November 10, 2003

For: SYSTEM AND METHOD FOR
MANAGING FILE DATA DURING
CONSISTENCY POINTS

Examiner: Not yet assigned

Art Unit: 2171

Cesari and McKenna, LLP
88 Black Falcon Avenue
Boston, MA 02210
December 2, 2004

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Commissioner for Patents
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Sir:

INFORMATION-DISCLOSURE STATEMENT

In keeping with the duty of candor and good faith owed to the Patent and Trade-mark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

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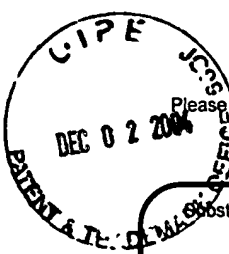
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Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Duane H. Dreger", is written over a horizontal line.

Duane H. Dreger
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 5

Complete if Known

Application Number	10/705,493
Filing Date	November 10, 2003
First Named Inventor	Eric Hamilton et al.
Group Art Unit	2171
Examiner Name	Not yet assigned
Attorney Docket Number	112056-0120

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,963,962		HITZ, et al.	10-05-1999	
		6,038,570		HITZ, et al.	03-14-2000	
		5,819,292		HITZ, et al.	10-06-1998	
		6,643,654		PATEL, et al.	11-04-2003	
				PAWLOWSKI, et al.		U.S. Patent Application Serial No. 10/215,917, (Attn. Matter NO. 112056-0070) filed August 9, 2002, titled MULTI-PROTOCOL STORAGE APPLIANCE THAT PROVIDES INTEGRATED SUPPORT FOR FILE AND BLOCK ACCESS PROTOCOLS
				EDWARDS, et al.		U.S. Patent Application Serial No. 10/027,634, (Attny. Matter No. 112056-0002) filed December 21, 2001, titled FILE SYSTEM DEFRAGMENTATION TECHNIQUE VIA WRITE ALLOCATION
				HAMILTON, et al.		U.S. Patent Application Serial No. 10/705,025, (Attny. Matter No. 112056-0121) filed November 10, 2003, titled SYSTEM AND METHOD FOR MANAGING FILE METADATA DURING CONSISTENCY POINTS
				FAIR, et al.		U.S. Patent Application Serial No. 10/705,470, (Attny. Matter No. 112056-0123) titled METHOD FOR DETECTING LEAKED BUFFER WRITES ACROSS FILE SYSTEM CONSISTENCY POINTS
		5,511,177		KAGIMASA, et al.	04-23-1996	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₀
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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		DAVID HITZ et al., TR3002 File System Design for a NFS File Server Appliance published by Network Appliance, Inc.	
		Common Internet File System (CIFS) Version: CIFS-Spec 0.9, Storage Networking Industry Association (SNIA), Draft SNIA CIFS Documentation Work Group Work-in-Progress, Revision Date: 3/26/2001	
		FIELDING et al., (1999) Request for Comments (RFC) 2616, HTTP/1.1	
		Auspex Systems, LFS - A Local File System for Multiprocessor NFS Network Servers, 1994	
		Unix Workstation System Administration Education Certification Course, January 8, 2002	
		RON LENIVE and MICHELLE GERVAIS, Building a SAN, SunExpert Magazine, March 1999, pp50-64	
		Network Appliance ends NAS-SAN war by COMPUTERWIRE, http://www.theregister.co.uk/2002/10/102/network_appliance_ends_nassan_war/print.html , Published Wednesday, October 2, 2002	
		International Search Report PCT/US03/23597, 03-09-2004, 7 pages, International Searching Authority, Alexandria, Virginia, USA	
		International Search Report PCT/US03/23597, 04-14-2004, 8 pages, International Searching Authority, Alexandria, Virginia, USA	
		LU, Y. "Performance Study of iSCSI-Based Storage Subsystems", IEEE Communications Magazine, pp. 76-82, August 2003	
		Celerra File Server Architecture for High Availability, EMC2 where information lives, August 1999, pp. 1-7.	

Examiner Signature	Date Considered
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Substitute for form 1449A/PTO <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(use as many sheets as necessary)</p>		<h3 style="text-align: center;">Complete if Known</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/705,493</td> </tr> <tr> <td>Filing Date</td> <td>November 10, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Eric Hamilton et al.</td> </tr> <tr> <td>Group Art Unit</td> <td>2171</td> </tr> <tr> <td>Examiner Name</td> <td>Not yet assigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>112056-0120</td> </tr> </table>		Application Number	10/705,493	Filing Date	November 10, 2003	First Named Inventor	Eric Hamilton et al.	Group Art Unit	2171	Examiner Name	Not yet assigned	Attorney Docket Number	112056-0120
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		CALLAGHAN B. "NFS Version 3 Protocol Specification", Request for Comments (RFC) 1813 June 1995	
		Asante Desktop EN/SC Adapters User's Manual April 1996	
		Asante EN/SC Adapter Family Installation Guide May 1994	
		Maintenance Procedures ND (8C) nd-network disk control February 1985	
		Misc. Reference Manual Pages ND (4P) nd-network disk driver 26 July 1985	
		Performance Without Compromise: The Virtual Storage Architecture 1997	

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